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Sheet of 16

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Application Number	10/010942	H
Filing Date	December 6, 2001	===
First Named Inventor	Basi, Guriq et al.	<u> </u>
Group Art Unit	1645 1647	=
Examiner Name	Wictors	8
Attorney Docket Number	ELN-002	<u> </u>

			· · · · · ·	U.S. PATENT DOCUM	MENTS	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 10/010942 **Application Number** December 6, 2001 Filing Date Basi, Guriq et al. First Named Inventor 1645 1647 **Group Art Unit** NICHOUS Examiner Name

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Sheet

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Complete If Known 10/010942 **Application Number** December 6, 2001 Filing Date Basi, Guriq et al. **First Named Inventor** Group Art Unit 1645 1647 Examiner Name NICHOLS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known 10/010942 **Application Number** Filing Date December 6, 2001 Basi, Guriq et al. First Named Inventor 1845 1647 Group Art Unit NICHOLB **Examiner Name**

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ELN-002 Attorney Docket Number Sheet of 16

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December 6, 2001

Guriq, Basi et al.

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Application Number

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	5	of	16	Attorney Docket Number	ELN-002		

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Examiner	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	,
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/010942

Filling Date December 6, 2001

First Named Inventor Basi, Guriq et al.

Group Art Unit 1645 1 647

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Application Number	10/010942	
Filing Date	December 6, 2001	
First Named Inventor	Basi, Guriq et al.	
Group Art Unit	1645 1647	
Examiner Name	NICHOUS	
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Application Number 10/010942 December 6, 2001 Basi, Guriq et al. First Named Inventor 1645 1647 **Group Art Unit** Examiner Name NICHOUS

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 21.

	Complete if Known					
Application Number	10/010,942					
Filing Date	December 6, 2001					
First Named Inventor	Basi, Guriq					
Art Unit	1647					
Examiner Name	Christopher J. Nichols					
Attorney Docket Number	ELN-002					

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Examiner Initials*	Cite No.'	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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Examiner Cite		Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines,				
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NON PATENT LITERATURE DOCUMENTS						
Examiner Cite No.'		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
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10/010,942 December 6, 2001 Basi, Guriq 1647 Art Unit Christopher J. Nichols Examiner Name

Complete if Known

2 Sheet

(Use as many sheets as necessary)

Attorney Docket Number ELN-002

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Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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Substit	ute for form 1449A/B/PT	·o		Complete if Known		
				Application Number	10/010,942	
INF	ORMATION	N DI	SCLOSURE	Filing Date	December 6, 2001	
ST	ATEMENT	3Y /	APPLICANT	First Named Inventor	Basi, Guriq	
				Art Unit	1647	
	(Use as many sh	eets as	s necessary)	Examiner Name	Christopher J. Nichols	
Sheet	3	of	21	Attorney Docket Number	ELN-002	

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
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(A)	352	WO 02/34777 A1	05-02-2002	Chiesi Farma SPA		

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		NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	er Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publi and/or country where published.					
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	Application Number
INFORMATION DISCLOSURE	Filing Date
STATEMENT BY APPLICANT	First Named Inven

(Use as many sheets as necessary)

Sheet 4 of 21

Complete if Known							
Application Number	10/010,942						
Filing Date	December 6, 2001						
First Named Inventor	Basi, Guriq						
Art Unit	1647						
Examiner Name	Christopher J. Nichols						
Attorney Docket Number	ELN-002						

				U.S. PA	TENT DOCUMENTS	
Examiner Cite No.1		Cito	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
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NON PATENT LITERATURE DOCUMENTS							
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute for form 1449A/B/PTO				Complete if Known		
				Application Number	10/010,942	
INF	ORMATIO	N DI	SCLOSURE	Filing Date	December 6, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Basi, Guriq	
				Art Unit	1647	
(Use as many sheets as necessary)				Examiner Name	Christopher J. Nichols	
heet	5	of	21	Attorney Docket Number	ELN-002	

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Examiner	Cito	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where		
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Substitute for form 1449A/B/PTO				Complete if Known		
				Application Number	10/010,942	
INF	ORMATI	ON DISC	LOSURE	Filing Date	December 6, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Basi, Guriq	
				Art-Unit	1647	
(Use as many sheets as necessary)			essary)	Examiner Name	Christopher J. Nichols	
Sheet	6	of	21	Attorney Docket Number	ELN-002	

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دوى	382	5,846,533	12-08-1998	Prusiner			

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کوی	383	WO 97/10505 A1	03-20-1997	University of California			

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Complete if Known ubstitute for form 1449A/B/PTO Application Number 10/010,942 INFORMATION DISCLOSURE Filing Date December 6, 2001 STATEMENT BY APPLICANT First Named Inventor Basi, Guriq 1647 Art Unit (Use as many sheets as necessary) Examiner Name Christopher J. Nichols Sheet 7 of 21 ELN-002 Attorney Docket Number

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Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Substitute for form 1449A/B/PTO				Complete if Known		
7				Application Number	10/010,942	
IN	IFORMATION	I DI	SCLOSURE	Filing Date	December 6, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Basi, Guriq	
				Art Unit	1647	
	(Use as many sho	eets as	necessary)	Examiner Name	Christopher J. Nichols	
heet	8	of	21	Attorney Docket Number	ELN-002	

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	No.	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear		
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	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	T⁰		

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IN	IFORMATION	I DI	SCLOSURE	Filing Date	December 6, 2001	
STATEMENT BY APPLICANT				First Named Inventor	Basi, Guriq	
				Art Unit	1647	
	(Use as many sh	eets as	necessary)	Examiner Name	Christopher J. Nichols	
Sheet	9	of	21	Attorney Docket Number	ELN-002	

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Examiner Cite Initials* No.	Cito	Document Number Publication		Name of Patentee or	Pages, Columns, Lines, Where			
		Number-Kind Code ² (if known)		Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
000	415	2003/0166558 A1	09-04-2003	Frangione, et al.				
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<i>C</i>	417	5,985,242	11-16-1999	Findeis, et al.				

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Complete if Known Substitute for form 1449A/B/PTO Application Number 10/010,942 INFORMATION DISCLOSURE Filing Date December 6, 2001 STATEMENT BY APPLICANT First Named Inventor Basi, Guriq 1647 Art Unit (Use as many sheets as necessary) Examiner Name Christopher J. Nichols 10 of 21 **ELN-002** Sheet Attorney Docket Number

	U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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09	433	WO 03/020212	03-13-2003	Mayo Foundation for Medical Education and Research		

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Subst	itute for form 1449A/B/P	0	<u>-</u>	Complete if Known		
7.				Application Number	10/010,942	
IN	FORMATION	N DI	SCLOSURE	Filing Date	December 6, 2001	
ST	STATEMENT BY APPLICANT			First Named Inventor	Basi, Guriq	
				Art Unit	1647	
(Use as many sheets as necessary)			necessary)	Examiner Name	Christopher J. Nichols	
heet 11 of 21		Attorney Docket Number	ELN-002			

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Examiner		Cite	Document Number Publication (Name of Patentee or	Pages, Columns, Lines, Where
Initials		No.1	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
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(370)		WO 03/074081 A1	09-12-2003	Mindset Biopharmaceuticals USA		
G00	447	WO 03/051374 A2	06-26-2003	New York State Office of MENTA		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 12 21

	Complete if Known		
Application Number	10/010,942		
Filing Date	December 6, 2001		
First Named Inventor	Basi, Guriq		
Art Unit	1647		
Examiner Name	Christopher J. Nichols		
Attorney Docket Number	ELN-002		

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Examiner Initials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Initia		No.	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	۲°
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		454	WO 98/33815 A1	08-06-1998	Acumen Pharmaceuticals, Inc.		H
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 13 of 21

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Complete if Known Substitute for form 1449A/B/PTO 10/010,942 Application Number INFORMATION DISCLOSURE Filing Date December 6, 2001 STATEMENT BY APPLICANT First Named Inventor Basi, Guriq Art Unit 1647 (Use as many sheets as necessary) Examiner Name Christopher J. Nichols 14 of 21 Sheet Attorney Docket Number **ELN-002**

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Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where			
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Sheet 15 21

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December 6, 2001		
Basi, Guriq		
1647		
Christopher J. Nichols		
ELN-002		

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INF	ORMATIC	ID NC	SCLOSURE	Filing Date	December 6, 2001	
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Basi, Guriq	
			•	Art Unit	1647	
	(Use as many	/sheets as	necessary)	Examiner Name	Christopher J. Nichols	
Sheet	16	of	21	Attorney Docket Number	ELN-002	

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Sheet 17 of 21

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Application Number	10/010,942		
Filing Date	December 6, 2001		
First Named Inventor	Basi, Guriq		
Art Unit	1647		
Examiner Name	Christopher J. Nichols		
Attorney Docket Number	ELN-002		

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Complete if Known					
Application Number	10/010,942				
Filing Date	December 6, 2001				
First Named Inventor	Basi, Guriq				
Art Unit	1647				
Examiner Name	Christopher J. Nichols				
Attorney Docket Number	ELN-002				

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		Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or
Examiner Initials*	No. Number-Ki	Number-Kind Code ² (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Figures Appear
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		NON PATENT LITERATURE DOCUMENTS	
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				Application Number	10/010,942	
IN	IFORMATIO	N DIS	SCLOSURE	Filing Date	December 6, 2001	
S	STATEMENT BY APPLICANT			First Named Inventor	Guriq Basi	
				Art Unit	1647	
_	(Use as many sheets as necessary)			Examiner Name	Christopher J. Nichols	
Sheet	1	of	3	Attorney Docket Number	ELN-002	

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				

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				Application Number	10/010,942	
- 11	IFORMATIC	ON DIS	CLOSURE	Filing Date	December 6, 2001	
S	STATEMENT BY APPLICANT			First Named Inventor	Guriq Basi	
				Art Unit	1647	
	(Use as many sheets as necessary)			Examiner Name	Christopher J. Nichols	
Sheet	2	of	3	Attorney Docket Number	ELN-002	

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Complete if Known Substitute for form 1449A/B/PTO Application Number 10/010,942 **INFORMATION DISCLOSURE** Filing Date December 6, 2001 STATEMENT BY APPLICANT First Named Inventor Guriq Basi Art Unit 1647 (Use as many sheets as necessary) Examiner Name Christopher J. Nichols Sheet 3 of 3 Attorney Docket Number ELN-002

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